

## Ancillary Review Matrix Grants

Ancillary Review Type	Initiator	Approver	Response Required?	Required Documents/Information	Person or Organization	Selection
<b>Compliance (COI)</b>	ORA	VPR/EDR	Y	Read and follow the <a href="#">Conflict of Interest in Research Policy</a> . <ul style="list-style-type: none"> <li>Include a letter disclosing the potential conflict</li> <li>Attach to Ancillary Review</li> </ul>	N/A	This is for SBIR/STTR only and generated by ORA.
<b>Cost Share</b>	Department	VPR/EDR	Y	Read and follow the <a href="#">Cost Sharing Policy</a> . <ul style="list-style-type: none"> <li>Completed Cost Share Budget</li> <li>Justification letter for the Cost Share</li> <li><b>NEW REQUIRED: <a href="#">Cost Share Form</a></b> <i>(must be included on attachments)</i></li> </ul> <p><i>*Note: When requesting cost share voluntary or mandatory please include sponsor details in addition to the waiver and NEW required cost share form in the attachments.</i></p>	Person	<u>Gables or Rosenstiel</u> : Laura Kozma <u>Medical</u> : Marcos A. Garza
<b>Department Chair/Center Director (as PI)</b>	Department	Dean/Dean Designee	Y	<ul style="list-style-type: none"> <li>Include a justification comment for approval in the ancillary review.</li> </ul> <p><i>* Note: If an approver noted here is the PI, the Proposal requires approval from a higher level.</i></p>	Person	<u>Medical</u> : Marcos A. Garza <u>Rosenstiel</u> : Roni Avissar <u>A&amp;S</u> : Leonidas Bachas <u>Engineering</u> : Fabrice Manns
<b>Export Controls</b>	Department/ORA	William Collins	Y	Read and follow the <a href="#">Export Control Policy</a> . <ul style="list-style-type: none"> <li>Letter disclosing export control concerns</li> <li>Attach to Ancillary Review</li> </ul>	Person	William (Bill) Collins
<b>Indirect Cost Waiver</b>	Department	VPR/EDR	Y	Read and follow the <a href="#">F&amp;A Waiver Policy</a> . <ul style="list-style-type: none"> <li>Justification letter</li> <li><b>NEW REQUIRED: <a href="#">Indirect Cost Waiver Form</a></b> <i>(must be included on attachments)</i></li> </ul>	Person	<u>Gables or Rosenstiel</u> : Laura Kozma <u>Medical</u> : Marcos A. Garza
<b>Late Proposal Submission</b>	Department	VPR/EDR	Y	Required documents/Information: <ul style="list-style-type: none"> <li>Read and follow the <a href="#">Proposal Exception Process</a></li> <li>Include a justification and supporting documentation</li> <li>Request must be submitted <b>in advance</b> of the ORA deadline</li> </ul>	Person	<u>Medical, Gables and Rosenstiel</u> : Laura Kozma
<b>Nepotism/Familial Relationship</b>	Department	VPR/EDR	Y	Read and follow the <a href="#">Nepotism in Research Policy</a> . <ul style="list-style-type: none"> <li>Include a Nepotism Memo</li> <li>Attach to Ancillary Review</li> </ul>	Person	<u>Medical, Gables and Rosenstiel</u> : Laura Kozma

<b>PI Eligibility</b>	Department	VPR/EDR	Y	Read and follow <a href="#">PI Eligibility Policy</a> . For Case By Case Exceptions: <ul style="list-style-type: none"> <li>• Commitment/Letter of Support.</li> <li>• Attach to Ancillary Review</li> </ul>	Person	<u>Medical, Gables</u> and <u>Rosenstiel</u> : Laura Kozma
<b>Resources</b>	Department	Chair, Dean or Owner of Resource	Y	<ul style="list-style-type: none"> <li>• Letter requesting resources needed for the project</li> <li>• Attach to Ancillary Review</li> </ul>	Person	Select appropriate Chair Center/Dir/Dean from the person picklist
<b>Terms &amp; Conditions</b>	ORA	ORA Contract Team	Y	ORA Activity	N/A	Internal ORA